

Section 101

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 26 NOVEMBER 2001.

Date 11/26/01

Signature [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

O'CONNOR et al.

Serial No.: 09/922,218

Group Art Unit: Unassigned

Filing Date: August 3, 2001

Examiner: Unassigned

Title: HYDROGEL PARTICLE FORMULATIONS

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Box Missing Part
Washington, D.C. 20231

Sir:

This is in response to the Notice to File Missing Parts dated September 24, 2001. Transmitted herewith for filing are the following documents: a copy of the Notice to File Missing Parts; Combined Declaration and Power of Attorney signed by the inventors; a Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account; a second Preliminary Amendment; and an Information Disclosure Statement, Form PTO 1449 and copies of cited references. Also enclosed is a check in the amount of \$1434 (surcharge, \$130; statutory basic filing fee, \$740; additional claim fees, \$564) to cover the fees required.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

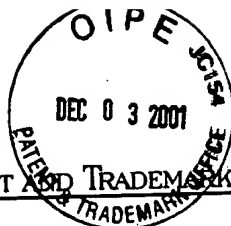
Date: 11/26/01

By: [Signature]
Roberta L. Robins
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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,218	08/03/2001	Barbara Horsey O'Connor	7010-0018; OPF0018.20

 ROBINS & PASTERNAK LLP
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 90 Middlefield Road
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 RECEIVED
 OCT 1 - 2001
 ROBINS & PASTERNAK LLP

CONFIRMATION NO. 7233

FORMALITIES LETTER

OC000000006780279

Date Mailed: 09/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1044.
 - \$324 for 18 total claims over 20.
 - \$720 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1884.

A copy of this notice **MUST** be returned with the reply.

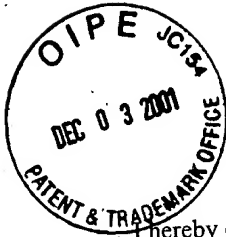
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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 740.00
 168.00
 396.00
 130.00



Atty Dkt 7010-0018
PATENT

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Title: HYDROGEL PARTICLE FORMULATIONS

AMENDMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a second preliminary amendment in the above patent application.

☐ Petition for Extension of Time enclosed.

☐ No additional fee is required.

☒ Also enclosed: Version with Markings to Show Changes Made; Currently Pending Claims; postcard

No. of Claims After Amendment			Most Claims Previously Paid		Extra Claims			Additional Fee	
A. Total Claims	42	-	20	=	22	x	\$18	=	\$396
B. Ind. Claims	5	-	3	=	2	x	\$84	=	168
C. If amended to contain multiple dependent claims, add 280							\$280	=	\$
D. Total Amendment Fee (Total of A, B & C)								=	\$564
E. If small entity, 50% reduction of Total Amendment Fee (50% of D)								=	
F. Total Amendment Fee (D minus E)								=	\$564

Atty Dkt 7010-0018
PATENT

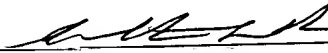
X A check for \$ 1434 to cover the extra claims fee is attached.

___ Charge \$ to Deposit Account No. 18-1648.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 11/26/01

By: 
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Registration No. 33,208
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